Cost-optimal design of reverse electrodialysis process for salinity gradient-based electricity in desalination plants

3 Energy

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Abstract

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This work provides the cost-optimal design of a large-scale reverse electrodialysis (RED) system deployed in a medium-capacity desalination plant using mathematical programming. The optimization model determines the hydraulic topology and working conditions of the RED units that maximize the net present value (NPV) of the RED process. We examine how past and future trends in electricity and carbon prices, membranes price, desalination plant capacity, and the use of high-conductive membranes may affect the competitiveness and performance of the NPV-optimal design. We also compare the conventional series-parallel configuration, and the NPV-optimal solution with recycling and added reuse alternatives of the RED units' exhausted streams to size the benefits of mathematical programming over conventional heuristics. It is shown that in the context of soaring electricity prices and strong green financing support, with the use of high-performing, affordable membranes (~10 €/m²), RED could save 8% of desalination plant energy demand from the grid, earning profits of up to 5 million euros and LCOE of 66–126 €/MWh, which is comparable to other renewable and conventional power technologies. In such conditions, the optimization model finds profitable designs for the entire range of medium-capacity desalination plants, providing energy and emission savings from the grid.

- **Keywords:** Renewable energy; Generalized Disjunctive Programming; Desalination;
- Wastewater reuse; Water-energy nexus.

1 Introduction

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The energy released by mixing two water streams of different salinities, so-called salinity gradient energy (SGE), is a vast yet largely untapped renewable power source [1,2] to complement and diversify the current carbon and water-intensive energy mix [3,4], and sustain the energy-intensive water sector [5]. SGE technologies offer an integrated approach to the United Nations' Sustainable Development Goal (SDG) 7 on affordable, reliable, sustainable energy access, and SDG 6 on clean water and sanitation. Desalination and wastewater reuse are projected to increase in the coming decades [6,7] to reduce withdrawals from conventional surface and groundwater resources, while meeting stringent water quality standards. However, as large energy users of conventional power sources [8,9], they are also large greenhouse gas (GHG) emitters that question their sustainability [10–12]. Seawater reverse osmosis (SWRO), the technology of choice in the global desalination market [13,14], is getting closer to the practical minimum energy to desalinate seawater hitting a record, low specific energy consumption (SEC) of ~2 kWh/m³ of desalted water [8]. Despite the marked decline in SEC, the carbon footprint of large-scale desalination plants remains an issue [15,16]. Hence, coupling desalination with renewable energy sources will be vital for the sustainable production of desalinated water [12,17]. SGE technologies can provide clean, base-load electricity to desalination and wastewater treatment plants, supporting their decarbonization and circularity [5]. Within the SGE technologies, reverse electrodialysis (RED) has made great progress in the past two decades, and is now closer to commercialization with some pilot trials and field demonstrations [18–24]. In principle, a RED system takes in low- and high-salinity waters (LC and HC) on either side of alternate pairs of cation-exchange (CEM) and anion-exchange (AEM) membranes that let through counter-ions, but not co-ions and water [25]. The salinity difference over each ion-exchange membrane (IEM) creates an

electrochemical potential that drives the diffusion of cations through CEMs towards the cathode, and anions through AEMs towards the anode from the saltier stream to the less-salty side; redox reactions at the outer electrodes convert this ionic flow into an electron flux. The electric potential of the membrane pile and the resulting electric current can then be used to power the external load. The low power density of large-scale RED (0.38–2.7 W/m² total membrane area), fouling, and high cost of commercial membranes are the main limitations for RED technological readiness [1,26,27]. Niche markets beyond utility-scale electricity open new avenues to prove and advance RED market readiness. For instance, seawater desalination brine and wastewater are discarded streams that can be exploited to produce and save energy while minimizing the environmental impact of brine disposal [28]. Besides, desalination's seawater influent is already pre-treated to remove foulants [29], so the rejected brine would likely be less prone to cause fouling than raw seawater, which would require further energy-intensive purification. While several studies have investigated the design of the RED process to improve the power density and/or the energy conversion efficiency (i.e., the fraction of SGE converted into useful work) of single [30–34] or several RED units in series or simple layouts, few have considered more complex topologies—which may yield optimal designs—and cost metrics (e.g., net present value, levelized cost of electricity), which are key drivers for widespread RED adoption [35–38]. Efficiency and power density are mutually exclusive performance metrics as maximizing both requires differing operating conditions [39]. Multi-staging of the RED stacks and electrode segmentation can provide efficient designs with higher power densities than once-through RED operation with unsegmented electrodes [40]. Multi-staging adds more degrees of freedom to the design and operation space, such as individual electrical control of the stages [41-43] like electrode

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79 segmentation [44–47], asymmetric staging (i.e., different spacer thickness, number of cell 80 pairs, membrane properties, path length and type of mixing promoters in each stage) 81 [40,48,49], and different configurations [43,47,50,51]. However, these studies do not 82 consider the cost, which is a key enabler of RED technology adoption. 83 An alternative to making decisions about RED process design is to use 84 optimization-based methods that rigorously search for the optimal configuration in a 85 given design space [52,53]. Notably, Generalized Disjunctive Programming (GDP) is a 86 higher-level modeling framework that makes the formulation process more intuitive and 87 systematic, while preserving the underlying logic structure of the problem in the model 88 [54]. Tristán et al. [55] developed a GDP optimization model that incorporates a detailed 89 model of the RED stack [56,57] to define the hydraulic topology and the working 90 conditions of a set of RED units that maximize the net present value (NPV) of the RED 91 process. Their work illustrates the functionality and benefits of mathematical 92 programming and GDP modeling on the conceptual design and optimization of the RED 93 process over conventional heuristics. 94 This follow-up study applies the GDP optimization model [55] to define the cost-optimal 95 design of a large-scale RED system in a medium-capacity SWRO desalination plant, a 96 favorable market to prove and advance RED-based electricity. The assessment explores 97 how electricity and emissions allowances prices over time, membranes price, SWRO 98 desalination plant capacity, and membranes resistance, may affect the cost-optimal 99 design, economic feasibility, and competitiveness of the RED process. To evaluate the 100 benefits of the GDP model over heuristics, we also compare the conventional 101 series-parallel configuration with the optimal solution to the GDP problem, which 102 includes recycling and reuse alternatives of the exhausted streams of the RED units. This

case study serves to gauge the emissions and energy savings from the water- and

carbon-intensive grid mix the RED system can offer to desalination in the most cost-conscious way, the way forward to make RED-based electricity a full-scale reality.

2 Methods

Optimization-based strategies involve three major steps: (i) postulating a superstructure that embeds the relevant flowsheet alternatives from which the optimum solution is selected, (ii) its formulation as a tractable mathematical programming model; and (iii) solving the model with an optimization algorithm to determine the optimal configuration [52,58]. Since the GDP model for the optimal design of the RED process is thoroughly described in [55], we will brief the reader on the main equations and assumptions.

2.1 Problem statement and superstructure definition

The problem addressed is to determine the hydraulic topology, that is, the number and hydraulic arrangement of the RED units and their working conditions (e.g., electric current, inlet flow velocities, and molar concentrations) that yield the cost-optimal flowsheet design of the RED process for a given concentration, volume, and temperature of the high-salinity and low-salinity feed streams, and a fixed design of the RED stacks. The superstructure in Fig. 1 displays the feasible design alternatives for the stated problem, i.e., RED-based electricity production from the embedded energy of the HC and LC feed waste streams, with *Nr* conditional RED units. The reader is referred to [55] for details on the superstructure definition and notation.

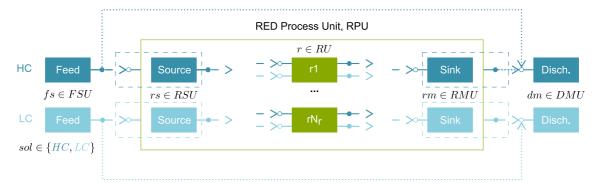


Fig. 1. Superstructure for the RED process. High (HC) and low-salinity (LC) feed ($fs \in FSU$) and discharge ($dm \in DMU$) units. The set of source ($rs \in RSU$) and sink ($rm \in RMU$) units and the set of candidate RED units ($r \in RU$) are children of the parent RED Process unit (RPU).

2.2 Optimization model

The set of equations (1) describes the general form of the Generalized Disjunctive Programming (GDP) optimization model for the superstructure in Fig. 1. GDP models involve continuous and Boolean variables with constraints in the form of algebraic expressions, conditional constraints within disjunctions, and logical propositions. The *Nr* two-term disjunctions represent the discrete activation and deactivation of the *Nr* candidate RED units.

$$\max NPV = f(x)$$

$$s.t. \quad g(x) \leq 0$$

$$\vdots \quad \begin{bmatrix} Y_r \\ h_r(x) \leq 0 \end{bmatrix} \vee \begin{bmatrix} \neg Y_r \\ B^r x = 0 \end{bmatrix} \quad \forall \, r \in RU$$

$$\vdots \quad \Omega(Y_r) = True$$

$$\vdots \quad x \in X \subseteq R^n$$

$$\vdots \quad Y_r = \{True, \, False\} \quad \forall \, r \in RU$$

$$(1)$$

In problem (1), the objective is to maximize the Net Present Value (NPV) of the RED process subject to inequality constraints from process specifications and equality constraints from material, energy balances, and thermodynamic relationships. The continuous variables x are the molar concentrations and volumetric flows of the streams, and the internal variables of the active RED units. Decisions are made on the electric current and the concentration and flowrate of the RED stack's inlet streams. The global

constraints, $g(x) \le 0$, outside the disjunctions are equalities and inequalities describing specifications and physical relationships that apply for all feasible configurations in the superstructure, e.g., mass balances of the feed, source, sink, and discharge units, and the upper and lower bounds on concentration and flowrate. In each term of the disjunctions, the Boolean variables Y_r define the existence or absence of the RED unit; if a unit exists or is selected ($Y_r = True$), the associated active constraints $h_r(x) \le 0$ impose the relevant mass and energy balances or other physicochemical phenomena that apply in the RED unit, add the incurred capital and operating cost to the objective function, and set lower and upper bounds on its internal variables and the concentration and flowrate of its inlet and outlet streams; otherwise, the negation $(\neg Y_r)$ ignores the RED unit equations in the inactive disjunctive term, and $B^r x = 0$ constraints set to zero a subset of the continuous variables and cost terms in the objective function. Other types of logical relationships for selecting the candidate RED units $(\Omega(Y_r) = True)$ are specified using logic propositions.

To formulate the GDP problem, we assume:

- 158 (a) The feed streams are pure sodium chloride (NaCl) solutions, thus neglecting the
 159 non-idealities of aqueous solution (i.e., unity activity coefficients) and the existence
 160 of other species that would undermine the RED performance.
- 161 (b) The internal losses depend only on the ionic resistance of solutions and membranes.
- 162 (c) Constant membranes permselectivity and ionic resistance apply, regardless of the solutions concentration and temperature.
- (d) There is no water transport across the membranes against the concentration gradient
 due to osmosis, which implies a constant streamwise volumetric flowrate in RED's
 channel.

- (e) Salt diffusivities in the membrane phase are independent of solutions concentrationand temperature.
- 169 (f) No fluid leakage or ionic shortcut currents in the RED stack's manifolds.
- (g) Co-current flow of the high- and low-concentration streams.
- 171 (h) The RED system operates under isothermal and isobaric conditions.
- 172 The solution to the GDP model maximizes the NPV of the RED process (2), which 173 considers operating (OPEX in €/year), and capital costs (CAPEX in €) annualized over the expected lifetime of the plant LT in years, using the capital recovery factor, CRF, 174 175 given in (4) with a discount rate DR. The OPEX and annualized CAPEX define the total 176 annual cost (3), TAC, of the RED system. The NPV accounts for electricity sales and 177 carbon pricing revenues. The RED plant electricity is sold to the grid at Spanish average price of electricity for non-house consumers, ep [59], and the abated GHG emissions 178 from the grid mix (Spanish emission factor, ef) are subsidized at the average price, cp, 179 180 in the European Union Emission Trading System (EU ETS) [60].

$$NPV = \frac{(ep + cp \ ef) \ TNP \ 8760 \ LF - TAC}{CRF} \tag{2}$$

$$TAC = CRF CAPEX + OPEX$$
 (3)

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$$CRF = \frac{DR}{1 - (1 + DR)^{-LT}} \tag{4}$$

$$TNP = \sum_{r \in RU} NP_r \tag{5}$$

We use a semi-rigorous version of Tristán et al. [55,56] RED stack model, to balance model fidelity and tractability. When the RED unit is active ($Y_r = \text{True}$), the discretized model predicts the net power output, NP_r , that is added to the net power capacity of the

- 188 RED system, i.e., total net power, TNP in kW (5). When the RED unit is absent $(\neg Y_r)$ the net power output is set to zero.
- We consider plant downtime due to membrane cleaning and system maintenance by applying a load factor, *LF*, to the annual energy yield (kWh/year) of the RED plant working at full capacity.
- To estimate the capital investment, we determine the cost of RED stacks, $\sum_{r \in RU} CC_{stack,r}$, pumps, CC_{pump} , and civil and electrical infrastructure costs, CC_{civil} .

$$CAPEX = \sum_{r \in RU} CC_{stack,r} + CC_{pump} + CC_{civil}$$
 (6)

The annual operating cost comprises the electricity cost from pumps, $\sum_{r \in RU} OC_{pump,r}$, the replacement cost of membranes, $\sum_{r \in RU} OC_{IEMsrep,r}$, and maintenance and labor costs.

$$OPEX = \sum_{r \in RU} OC_{pump,r} + \sum_{r \in RU} OC_{IEMsrep,r} + 0.02 CAPEX$$
 (7)

- When the RED unit is active, $CC_{stack,r}$ is added to CAPEX, and $OC_{pump,r}$ and $OC_{IEMsrep}$ to OPEX; otherwise, these terms take zero values.
- The objective function in (2) is maximized subject to constraints in the GDP that are detailed in Tristán et al. [55]. The remainder financial parameters are those reported in Table 1.

Table 1. Financial parameters for the RED plant.

Parameter	Value	
Plant lifetime, <i>LT</i> (years)	30	
Membranes' lifetime, LT_m (years)	10	
Load Factor, LF	90%	
Discount rate, DR	5%	
Spanish emission factor, ef (kg CO ₂ -eq/kWh)	0.374	

2.3 Solution strategy

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solution.

207 We code the GDP model using the Python-based, algebraic modeling language Pyomo 208 [61] and Pyomo.GDP, a Pyomo library extension for logic-based modeling and 209 optimization [62]. To solve the GDP problem, we apply the Global Logic-based Outer 210 Approximation (GLOA) algorithm [63,64] implemented in the logic-based solver 211 GDPopt version 20.2.28 built on Pyomo.GDP. The GLOA algorithm decomposes the 212 solution to the GDP into a sequence of mixed-integer linear programming (MILP) master 213 problems and reduced nonlinear programming (NLP) subproblems. 214 We solve the MILP master problems with CPLEX and the NLP subproblems with the 215 multistart heuristic algorithm MSNLP using IPOPTH as a local NLP solver on a machine running Windows 10 (x64) with 6 cores processor (Intel® CoreTM i7-8700 CPU 216 217 @3.2 GHz) and 16 GB of RAM. We access the MINLP and NLP solvers from GAMS 218 34.1.0 through the Pyomo-GAMS interface. The stopping criteria depend upon the 219 specified MSNLP solver's maximum number of iterations to guarantee a near-optimal

221 **2.4** Techno-economic performance metrics

To assess the technical performance of the optimal RED process designs, we determine its net power density, i.e., the net power produced per membrane area, and its net energy efficiency, or the fraction of exergy or theoretical maximum energy attainable in form of SG, converted to useful work. We consider the Levelized Cost of Energy (LCOE) to assess the cost-competitiveness of the RED optimal designs.

Net and thermodynamic energy efficiency

The exergy or Gibbs free energy of mixing is the theoretical maximum energy that is available for useful work from a system reaching equilibrium. The difference in the Gibbs

free energy between the final mixture and the initial high and low-salinity solutions yields the change in free energy of mixing of the inlet $\Delta G_{mix,in}$ and outlet $\Delta G_{mix,out}$ (8) streams of the RED process unit, i.e. streams (fso, rsu) and (rmu, dmi) [44,65].

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$$\Delta G_{mix,i} = 2 R T \sum_{sol \in \{HC,LC\}} Q_{i,sol} C_{i,sol} ln \frac{C_{i,sol}}{C_{M,i}}$$

$$\forall i \in in \cup out = (fso,rsu) \cup (rmu,dmi)$$
(8)

$$C_{M,i} = \frac{\sum_{sol \in \{HC,LC\}} Q_{i,sol} C_{i,sol}}{\sum_{sol \in \{HC,LC\}} Q_{i,sol}}$$

$$\forall i \in in \cup out = (fso,rsu) \cup (rmu,dmi)$$

$$(9)$$

where R is the gas constant (8.314 J/mol/K), T is the absolute temperature (K), 2 denotes the number of ions each NaCl molecule dissociates into, Q is the volumetric flowrate (m³/s) and C the concentration (mol/m³) of the initial high and low-salinity solutions entering and leaving the RED process. Equation (9) yields the concentration of the mixed solution in thermodynamic equilibrium (C_M in mol/m³) of the RED process inflow and outflow streams.

The net energy efficiency, η_{net} , measures the input fraction of free energy that RED converts into electricity (10). The exergy change between RED process inlet and outlet streams is the exergy recovered for conversion, i.e., the retrieved exergy for useful work $(\Delta G_{mix,retrieved})$, that is used to compute the thermodynamic efficiency, η_{th} , of the RED process.

$$\eta_{net} = \frac{TNP}{\Delta G_{mix\,in}} \tag{10}$$

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$$\eta_{th} = \frac{TNP}{\Delta G_{mix,in} - \Delta G_{mix,out}} = \frac{TNP}{\Delta G_{mix,retrieved}}$$
 (11)

248 Levelized Cost of Energy (LCOE)

- The LCOE (€/kWh) estimates the average cost per unit of energy generated during the lifetime of a power plant that would break even the RED project costs. The LCOE gives a first-order assessment of the RED project viability. Assuming the energy provided
- annually is constant during the lifetime of the project, the LCOE reduces to (12).

$$LCOE = \frac{CRF CAPEX + OPEX}{TNP 8760 LF} - cp \ ef \tag{12}$$

- 254 2.5 Specifications for the RED optimal design deployed in a medium-size
- desalination plant
- 256 The large-scale RED system recovers energy from the concentrate effluent of
- 257 Maspalomas II SWRO desalination plant in Gran Canaria (Canary Islands, Spain) [66–
- 258 68]. Maspalomas II plant produces 26,184 m³/day of desalted water and rejects
- 259 17,602 m³/day of high-salinity brine (1.67 M NaCl, 20 °C) with a SEC of 3.77 kWh/m³.
- 260 The low-salinity feedwater (20mM NaCl) may be obtained from nearby wastewater
- treatment plants (e.g., el Tablero, las Burras) [69]. Hence, we assume the same LC and
- 262 HC feed volume available for SGE conversion.
- 263 The case study explores how (i) electricity and carbon prices, (ii) membrane price,
- 264 (iii) desalination plant capacity, and (iv) membrane resistance, may affect the
- 265 cost-competitiveness, power density, and energy efficiency of the NPV-optimal RED
- design. All the assessments refer to a commercial RED unit (Table 2) in 2022, unless
- otherwise stated.

Table 2. Parameters of the commercial RED stack (Fumatech GmbH[®], Germany).

Parameter	Value					
Number of cell pairs	1000					
Channel size	$1.824 \text{ m} \times 1.532 \text{ m}^{\text{a}}$					
Spacers						
Thickness (µm)	270^{b}					
Porosity	82.5%					
Membranes properties: fumasep® CEM (FKS-50) / AEM (FAS-50)						
Areal resistance ($\Omega \cdot \text{cm}^2$)	1.8 / 0.6° (-20%) ^d					
Permselectivity (-)	0.93					
Thickness dry (µm)	50					
Active area (m ²)	0.7^{a}					

^a Four times the size of fumatech® ED-1750 pilot-scale module. ^b Equal to

To assess the influence of electricity price and carbon pricing over time, we gather Spanish average electricity price [59] and EU ETS average emission allowances price [60] for the period 2017–2022. We regress EU-27 data from 2007 onwards [59] to estimate 2030 electricity prices; the carbon price in 2030 is a central estimate benchmark from OECD [70]. We assess the sensitivity to membrane costs by setting values between the current price of membranes (i.e., average CEM and AEM cost from Fumatech®, 87.5 ϵ /m²) and the lowest price reported in the literature (~10 ϵ /m²) [71]. We assume 20% drop in membranes resistance to reflect future advancements in membranes design. We reduce the flowrate of both HC and LC feedwaters to estimate the minimum SWRO desalination plant capacity that would allow the NPV-optimal RED process earn profits. To evaluate the benefits of the GDP optimization model in RED process design over heuristic approaches, we compare two hydraulic arrangements each with the same number of candidate RED units (i.e., N_r = 35):

(i) Fixed series-parallel layout, from our previous assessment [56], where the RED system treats desalination concentrate into several identical parallel arrays of units in series, so neither recycling nor alternative reuse of the outlet streams is allowed. The

inter-membrane distance, i.e., HC or the LC channels height. ^c Measured in 0.5 M NaCl

at 25 °C. d Reduction assuming future advances in membranes design.

288 objective is to maximize the total net power of the parallel branch, as it was set in our 289 previous study [56]. 290 (ii) GDP layout, leaving the connection between the superstructure units free as a discrete 291 decision. In this case, the objective is to maximize the NPV. 292 In the Series layout, we estimate the working conditions that maximize the net power of 293 a stand-alone RED stack to fix the flowrate of the inlet streams to each parallel branch. 294 We assume that the high and low salinity feedwaters are evenly split among the parallel 295 branches, each with the same optimal configuration, so the net power output and costs of 296 the RED system scale accordingly. 297 3 Results and discussion 298 For all the scenarios and the given parameters, each solution provides the NPV-optimal 299 topology and decision variables that balance electricity production and capital and 300 operating outlays increase. Discrete decisions include the working RED units and the 301 active water streams. Continuous decisions are the flowrate and concentration of the inlet 302 streams and the electric current of each active RED stack. 303 It is worth noting that simplifications and assumptions of the RED stack model [55] result 304 in an overestimation of the net power output and, as such, an underestimation of the 305 LCOE and an overestimation of the NPV. 306 3.1 **Electricity and carbon price assessment** 307 As expected, the upward trend of electricity and emissions allowances prices over time 308 (Fig. 2) favors RED process techno-economic performance (Fig. 3), which in turn relieves 309 the grid mix supply of Maspalomas II desalination plant (RED-based electricity could

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meet about \sim 7–8% of the SEC).

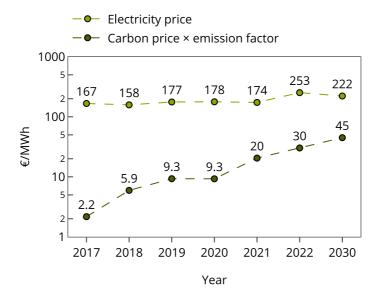


Fig. 2. Revenues per MWh from electricity and emission allowances over the period 2017–2022 with projections to 2030

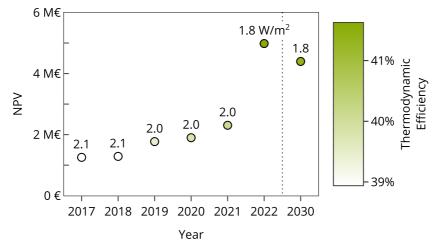


Fig. 3. Net present value, net power density (markers text), and thermodynamic energy efficiency (markers color) of the NPV-optimal RED process design over the past five years from 2022 and forecast to 2030.

Russia's invasion of Ukraine in early 2022 brought severe disruptions in the EU energy market. The unprecedent surge in European fossil gas prices is echoed in the unparallel electricity price spike in 2022 (Fig. 2), soaring prices that incentivizes the promotion of emerging renewable technologies such as RED. Besides, the cap-and-trade EU ETS limits the volume of allowances in the market over time (Fig. 2) to comply with emissions reduction targets, the scarcity of emission allowances (among other factors) increases their price used in financing RED (Fig. 4).

For the assessed period (Fig. 4), electricity sales are the main source of revenue, with lower yet growing revenue shares from auctioning allowances in the EU ETS (e.g., from 1% of all revenues in 2017 to ~11% in 2022 and ~17% in 2030). As a result, RED benefits grow by about 52% in five years, a 25% increase in NPV. Despite the slight decline of electricity price in 2030, the RED process may raise 724,155 euros each year during their lifetime yielding a NPV of about 4.4 million euros.

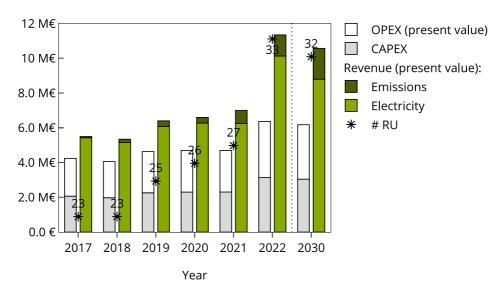


Fig. 4. NPV-optimal RED process over the period 2017–2022 with projections to 2030: cost and revenues breakdown in present value and number of active RED units, # RU.

When electricity is priced high, the revenue gained outstrips the increase in costs from a larger number of RED units (Fig. 4). The optimal solution therefore activates more RED units to raise the nominal generation capacity of the RED system (10 units in five years delivering 23% more TNP), but at a cost. Each unit added to the RED system reduces the overall net power density from 2.1 W/m² in 2017 to 1.8 W/m² in 2022 (Fig. 3). On the flip side, the RED system retrieves more exergy for conversion (15% more exergy than in 2017) from which a greater share (39% in 2017 and 42% in 2022) is converted into net electricity, enhancing the overall energy efficiency and net power output of the RED system (Fig. 3).

The overall net power density loss is related to the lower inlet flowrate of the RED units. This is because the same HC and LC feed volumes (kept constant throughout the years) are sourced to a larger number of RED units. Such lower inlet flowrate causes the RED units to depart from the net-power optimal working conditions, thereby reducing its power rate.

These findings indicate that in a context of high electricity prices and strong green financial support, RED technology does not require to reach the ambitious $\sim 2.0 \text{ W/m}^2$ to be competitive as previous studies suggested. This is a reassuring result for RED transition from lab-scale to commercialization.

3.2 Membrane price assessment

The membrane price that breaks even the NPV-optimal RED design falls somewhere between $23 \text{ } \text{€/m}^2$ and $24 \text{ } \text{€/m}^2$ (Fig. 5), just under twice to six times the price of previous estimates of similar feeds concentrations (see Table 3).

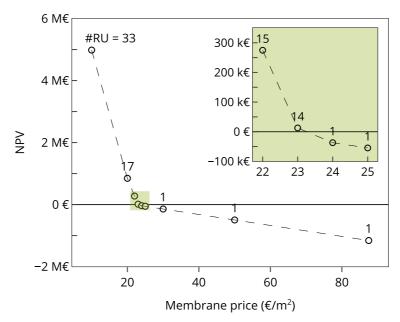


Fig. 5. Membrane price influence on the NPV-optimal RED process design: net present value and number of active RED units. The inset magnifies the NPV in the membrane price range within the boxed part of the graph.

Membranes priced above 23 €/m² yield larger economic losses when more than one RED unit is active, that is, the capital and operational expenses overshadow incomes from electricity sales and green financing incentives to a greater extent with an increasing number of working RED units (Fig. 6); therefore, the optimal RED process design keeps one RED unit active under near-optimal working conditions (i.e., maximum net generation), which results in a higher power density of 2.4 W/m² but reduced net (21%) and thermodynamic (36%) efficiencies (Fig. 7). As a result, the net power output and the derived electricity and emissions revenues from a single RED unit remain unchanged, whereas the investment and operational costs (i.e., membranes' replacement cost) increase linearly with membrane price (Fig. 6). The balance between the constant revenues and higher total costs of a single but costlier RED stack is reflected in the linear decline of NPV with membrane price (Fig. 5).

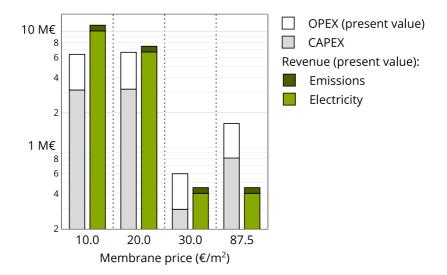


Fig. 6. Membrane price influence on the NPV-optimal RED process design: cost and revenues breakdown in present values.

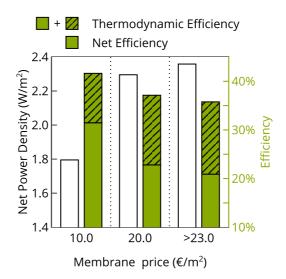


Fig. 7. Membrane price influence on the NPV-optimal RED process design: net power density, net and thermodynamic energy efficiencies.

The NPV trend shifts for membranes rated below 23 €/m², following a steep increase with lower membrane prices (Fig. 5). As membrane price falls the GDP model activates more RED units since the revenues earned outweigh the increase in capital and operating cost. The overall net power density decreases due to the larger number of RED units fed with the same feed flowrate, which recover a larger fraction of the input exergy for conversion increasing the net efficiency (Fig. 7). The thermodynamic efficiency also increases because the active RED units operate at lower inlet flowrates, reducing the overall pump power consumption.

With the reduction of membrane costs, designers can focus on achieving higher energy recovery rates from SG, leading to the development of more efficient and economically viable designs that increase the RED-based share of the SWRO desalination plant supply from 0.3% from a single costlier RED unit to 8% from 33 cheaper RED units. The scale-up of the RED process capacity to the MW order would likely make the project profitable in the short run if cheaper manufacturing membrane processes lower its cost to $\sim 20 \text{ } \text{€/m}^2$.

3.3 SWRO desalination plant capacity assessment

The available feeds flowrate restricts the exergy input which in turn bounds the useful work of the RED process. The exergy input scales linearly with the desalination plant capacity (Fig. 8), and so does the TNP of the RED plant (Fig. 8 and markers size in Fig. 9). As such, to maximize the NPV with scarce feed volumes, the GDP optimization model deactivates RED units (keeping a single RED unit in the low-end capacity range of medium-sized SWRO desalination plants, i.e., 500 m³/day). By reducing the number of RED units, the NPV-optimal RED process attempts to emulate the overall working conditions with larger feed volumes. With larger HC and LC feed volumes (4400–17,600 m³/day) the NPV-optimal solution retrieves ~76% and converts ~31% of the input exergy into electricity (TNP) (Fig. 8). The net power density and thermodynamic efficiency (Fig. 9) remain roughly constant at ~1.8 W/m² and ~42% up to a tenth of Maspalomas II capacity, owing to the lower number of RED units (3 units) operating with larger, net-power optimal flowrates that increase the net power density to 1.9 W/m² with a slight decline in thermodynamic efficiency (41%).

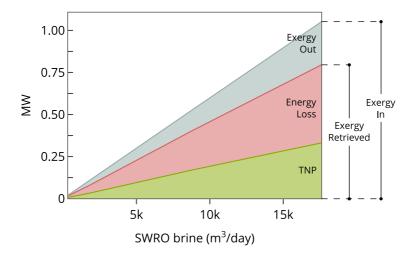


Fig. 8. SWRO desalination plant capacity influence on the NPV-optimal RED process design: energy balance.

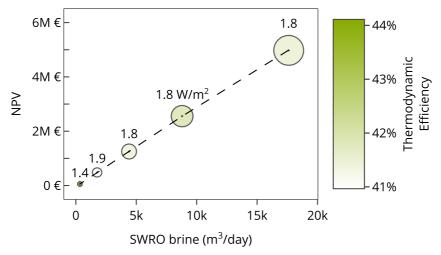


Fig. 9. SWRO desalination plant capacity influence on the NPV-optimal RED process design: net present value, net power density (markers text), total net power output (markers size), and net thermodynamic efficiency (markers color).

Desalination plants rejecting ~334 m³/day (i.e., 500 m³/day nominal capacity), would allow to install a single RED unit, that must run with a lower sub-optimal flowrate due to the scarce HC and LC feed flowrates, as such the net power density decreases to 1.4 W/m², while the energy efficiency increases to 44% (Fig. 9). This is because the RED unit depletes to a greater extent the concentration gradient with lower hydrodynamic losses. Even so, the RED unit would source about 7.5 kW to the desalination plant with a profit of 53,595 euros.

Overall, the integration of on-site electricity generation based on RED technology in desalination plants of up to 500 m³/day capacity can alleviate the reliance on water and energy-intensive grid mixes, contributing to more sustainable and self-sufficient water supply systems.

3.4 Membrane resistance assessment

The use of high-performance membranes would provide slightly more powerful—i.e., 7.4% more TNP with a 4.2% increase in the overall net power density (Fig. 10)—and efficient designs—3.5% more efficient in terms of thermodynamic efficiency (Fig. 10)—by simply adding a RED unit to the RED system (about 5.6 km² of total IEM area

in a single stack). Such a small improvement would add up almost a million euros of benefits with virtually no impact on capital and operational costs, resulting in a 13% NPV increase (Fig. 11). The LCOE would also improve, moving from 103 ϵ /MWh to 97 ϵ /MWh These results emphasize that any improvement in membranes' performance has a positive impact on cost-competitiveness and widespread adoption of RED, a solid reason to thrust the development of cost-effective manufacturing processes and mass production of low-resistance membranes to reach prices of \sim 10 ϵ /m².

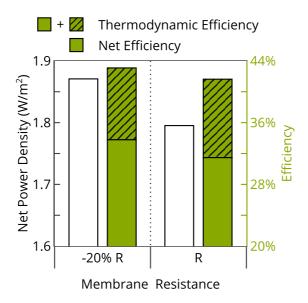


Fig. 10. Membrane resistance influence on the net power density, net and thermodynamic energy efficiencies of the NPV-optimal design.

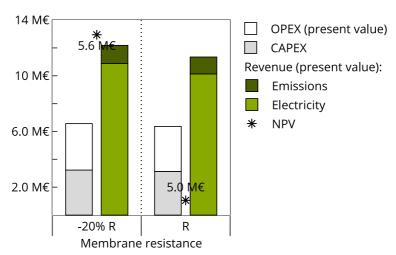


Fig. 11. Membrane resistance influence on the NPV-optimal design: cost and revenues breakdown in present values and net present value (markers).

3.5 Conventional series-parallel layout vs. NPV-optimal layout

The optimal GDP layout outperforms the series-parallel arrangement, as it renders economically viable RED process designs with almost equal energy and emissions savings from the grid (~7% in the conventional layout and ~8% in the cost-optimal layout).

The optimal series-parallel design of the RED process that peaks the total net power output with (i) a fixed hydraulic arrangement of the RED units, (ii) fixed concentration and flowrate of the HC and LC inlet water streams, and (iii) leaving the number of working RED units and its electric current as single decision variables, is far from being profitable (negative NPV of 2.9 million euros, Fig. 12). The GDP optimization model activates the largest feasible number of RED units in series, i.e., 5 out of the 35 candidate RED units per parallel branch, to maximize the net power generation of the whole system. Even though the last RED units in the series increase the net power of the system, the RED unit's net power density well decreases from the first 1.9 W/m² to the last ~7 mW/m², which makes them prohibitively expensive.

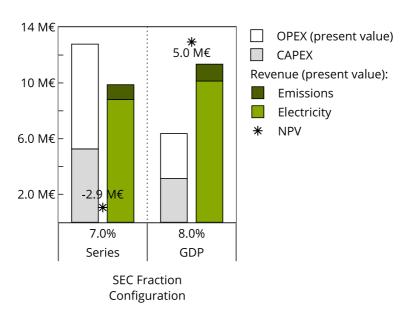


Fig. 12. Cost and revenues breakdown in present value and net present value of the series-parallel and NPV-optimal layouts.

While the net energy efficiency of the series layout (33%, Fig. 13) aligns with the estimated value to make RED technology competitive with other renewables (i.e., 40%) [20], the total net power density (0.9 W/m², Fig. 13) falls well below the estimated value to make RED cost-competitive (2.0 W/m²) [20]. The capital and operational expenses outweigh the benefits from electricity sales and green financing incentives which cover 78% of the total costs, as seen in Fig. 12.

These results show that the optimal design from the technical perspective is not always the same from an economical viewpoint. The series configuration recovers a larger fraction of SGE at expense of lower power density that renders the RED process unprofitable.

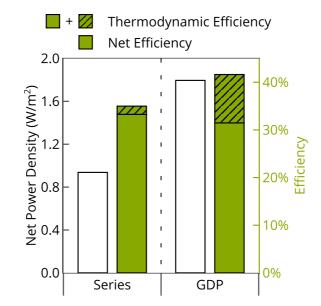


Fig. 13. Overall net power density, thermodynamic efficiency, and net energy efficiency of the series-parallel and NPV-optimal layouts.

Even though the conventional layout retrieves more energy for conversion (by increasing the extent of mixing through the series), the input exergy is lower than the optimal GDP layout (Fig. 14). This is because the total LC feed (assumed equal to Maspalomas II's desalination brine, $\sim 733 \, \text{m}^3/\text{h}$) restricts the number of parallel branches to 11. The optimal net-power inlet flowrate is about 0.6 times lower than the inlet LC flowrate. As such,

around 42% of the brine remains untapped reducing the input exergy of the RED system to 866 kW (Fig. 14).

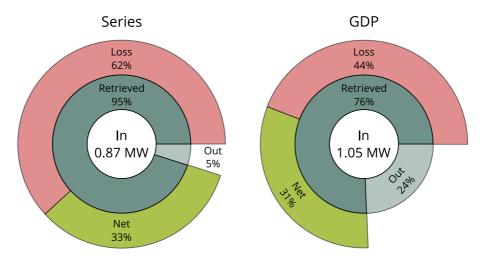


Fig. 14. Energy balance of the series-parallel and NPV-optimal layouts. In: Gibbs free energy entering the RED system. Out: Gibbs free energy leaving the RED system unused. Retrieved: Difference between input and output Gibbs free energies used for conversion in the RED system. Loss: Gibbs free energy lost in energy conversion. Net: total net power output of the RED system.

As opposed to the series arrangement, the GDP layout, with its (i) larger volume of HC and LC feeds, and (ii) recycling and additional reuse alternatives, provides cost-optimal designs that can earn large profits (Fig. 12) while reconciling high efficiency and higher power densities (Fig. 13). The reduced extent of mixing and lower pump consumption of the GDP layout (Fig. 14) improves the thermodynamic energy efficiency which increases from 35% in the series-parallel arrangement to 42% in the GDP layout (Fig. 13) despite the larger fraction of exergy unused (Fig. 14), which yields a modest decrease in net efficiency (Fig. 13).

In the series-parallel arrangement, we enforce all RED units to work with higher flow velocities, those that peak the net power of the stand-alone RED unit (2.6 cm/s in the HC and 4.5 cm/s in the LC compartments). The effect of such high velocities is twofold: an overall pump power increase (eight times the GDP's), which in turn raises the investment

and running costs (Fig. 12) and lowers the energy conversion efficiency (Loss in Fig. 14, and thermodynamic efficiency in Fig. 13).

These results underscore the value of mathematical programming and higher-level GDP modeling over heuristics for determining cost-optimal RED flowsheet designs.

3.6 Contextualizing RED economic competitiveness

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505 Despite the discrepancy between the assumptions and scale of renewables projects (i.e., 506 utility-scale projects of at least 1 MW) in IRENA's LCOE estimates [72] and the 507 NPV-optimal LCOE of RED, Fig. 15 provides some insights into RED competitiveness. 508 The assumed low membrane cost of 10 €/m² in all the assessed years would make the 509 LCOE of the NPV-optimal RED design fall within the range of fossil fuel-fired power 510 generation technologies (Fig. 15). In the face of soaring electricity prices and stiff 511 emission reduction targets to be on track of 2030 Paris Agreement's goals, the 512 NPV-optimal RED process would even be on par (i.e., concentrated solar power, CSP) or 513 in the range of other renewables. 514 If similar trends of steep cost reduction, technological advancements, and high 515 penetration rates were to occur in RED technology, it is plausible that the LCOE for RED 516 could reach levels comparable to established renewable technologies such as solar 517 photovoltaic (PV) or onshore and offshore wind. This is in line with the steep cost 518 reductions witnessed in solar PV, CSP, and offshore wind over the past decade (Fig. 15). 519 Even though IRENA's analysis excludes the impact of government incentives or 520 subsidies, carbon emission pricing or the benefits of renewables in reducing other 521 externalities, these figures highlight the need to prove and advance RED to reach market 522 readiness.

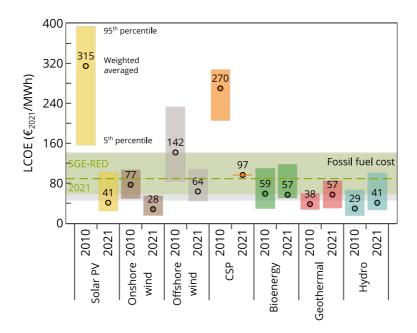


Fig. 15. Global LCOE from newly commissioned, utility-scale renewable power generation technologies, 2010-2020 [72]. NPV-optimal RED process LCOE range 2017–2022 and 2030 (green filled area) and 2021 LCOE (green dashed line). Grey filled area denotes price range of fossil fuel-fired technologies. All monetary values are in real, 2021 euros considering inflation and applying the exchange rate for each year. PV: photovoltaic; CSP: concentrating solar power.

Table 3 compares reported cost estimates of RED and the LCOE of the NPV-optimal RED process designs for current and future membrane price scenarios in 2022. The lack of detailed economic evaluations and wide variability in LCOE (16–4956 €/MWh) across existing studies due to disparity in their underlying financial and process assumptions, makes any comparison inconclusive and open to discussion. As such, it serves to extract some general guidelines and trends.

The HC and LC feed concentration, volume, and temperature determine the input exergy and, thus, the nominal capacity and cost of the RED process. HC sources such as brines from coal mines, desalination, saltworks, salt lakes, or regenerated thermolytic salt solutions used in the so-called RED heat engines (1–5 M), offer higher SGE potential than less salty water bodies such as seawater (0.5–0.6 M). A purposely designed RED system could efficiently exploit these high-salinity sources, thus, reducing the LCOE.

Depending on the source, the feeds purity may also affect the performance and durability of RED if not properly pre-treated, which may increase capital and operational expenses. In this work, the objective function, i.e., the NPV, excludes the pre-treatment cost, which is likely to result in an underestimation of the actual LCOE for RED systems that use sources with extensive pre-treatment requirements, e.g., treated wastewater effluents, raw seawater, or river water. None of the reported cost estimates in Table 3 consider the working conditions of each RED stack and their relative arrangement that may greatly improve both the performance and cost of the RED process as seen in the case study. Instead, most of them derived the cost of RED electricity or the LCOE for an estimated or projected RED unit power density or a targeted nominal capacity of the RED plant. Some also considered the impact of availability, concentration, and fouling potential of the HC and LC feeds, different RED stack sizes, and IEMs properties on RED system costs under fixed, suboptimal working conditions of the RED units. Such detailed assessments, however, miss cost-optimal design alternatives that optimization-based approaches can effectively handle and identify. The case study and the reviewed studies reveal that realizing high-performing (i.e., low-resistant, high-permselective), affordable membranes is a crucial lever for RED techno-economic progress toward market competitiveness. As shown in the case study, membrane cost weighs heavily on the objective function. Even though all scenarios have equal feedstreams conditions and candidate RED units, the high price of commercial membranes makes the NPV-optimal design uneconomic. Only if IEMs were one-order-of-magnitude cheaper, such that revenues offset the outlays increase, the NPV-optimal design would retain more RED units tuning their working conditions such that they reach the net power density that maximizes the NPV. The cumulative experience

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- 567 in operating and developing RED technology will likely decrease its LCOE to the
- 568 estimated 66–126 €/MWh.

Table 3 Cost estimates of RED reported in the literature and the present study. TP: Total power. PD: Power density. DR: Discount rate.

			TP	TP PD	Capacity	Lifetime [years]		IEMs Price		LCOE
	High-salinity solution	Low-salinity solution	(MW)	(W/m^2)	Factor	Plant	IEMs	(€/m²) ^f	DR	(€/MWh) ^f
Turek (2007)	0.6 M	9.6 mM	NR	0.46ª	NR	NR	10	73	NR	4956 (6790) ^e
[73]								$($100/m^2)^c$		
Turek (2008)	1.9 M	9.6 mM	NR	1.04ª	NR	NR	10	68	NR	2041 (3000) ^e
[74]								(\$100/m ²) ^d		
Post et al. (2010)	0.5 M	5 mM	0.2	2 ^a	91%	20	7	2	6%	79
[20]								10		200
Daniilidis et al.	0.5 M	17 mM	200	2.2 ^b	84%	25	7	4.3, 50	10%	18, 71
(2014) [38]				2.7 ^b				4		16
Weiner et al.	0.6 M	17 mM	NR	1.2 ^b	NR	20	NR	676	6%	5705 (6330)
(2015) [75]								$($750/m^2)^c$		
Bevacqua et al.	2.6 M NH ₄ HCO ₃	75 mM NH ₄ HCO ₃	0.1 ^a	4.30	91%	20	NR	50	6%	683
(2017) ⁹ [76]	2.4 M NH ₄ HCO ₃	10 mM NH ₄ HCO ₃		2.39						306
	2.5 M NH ₄ HCO ₃	40 mM NH ₄ HCO ₃		4.06						436
Micari et al.	5 M	10 mM	1 ^b	3.2	90%	30	10	30	5%	400
(2019) ⁹ [77]										
Papapetrou et	3.8 M	10 mM	0.1	0.66 ^b	90%	30	10	30	5%	1360
al.	5 M	10 mM	1	4.67 ^b						210
(2019) ⁹ [36]			- 1-							
Giacalone et al.	1.2 M	17 mM	2 ^b	1 ^a	90%	30	10	15	5%	500
(2019) [37]			4 ^b	2ª				4, 15		110, 250
	5 M	< 103 mM	0.01-1 ^b	1.5–2ª				15		270–330
			0.04-3 ^b	6.5ª				4		30–50
Ranade et al.	5 M	0.5 M	0.015	1.19 ^b	82%	20	10	5, 50	5%	250, 1500
(2022) [78]			0.031	2.44 ^b						120,750
This work ^h	1.67 M	20 mM	0.327	1.8 ^b	90%	30	10	10	5%	98
			0.013	2.3 ^b				87.5		998

a Gross power. b Net power. Total investment cost. Including endplates and electrodes. Cost of electricity. Values between brackets in US \$ converted to € with the corresponding year average exchange ratio from the International Monetary Fund. RED heat engine. Circa 2022.

4 Conclusions

- 573 RED technology has great potential in solving the water-energy challenge but needs to 574 prove that it can generate electricity reliably to gain the trust of investors and 575 manufacturers to unlock economies-of-scale cost reduction. In this work, we have 576 presented an optimization model to devise techno-economic viable RED process designs 577 that support the leap from lab to market. The Generalized Disjunctive Programming 578 (GDP) model allowed us to define the hydraulic topology and working conditions of a set 579 of RED units to maximize the net present value of the RED process deployed in a 580 medium-capacity seawater reverse osmosis plant.
- We have estimated the energy and emissions savings from the grid RED-based electricity may offer to desalination exploring relevant factors involved in the cost-optimal design of the RED process, providing valuable insights:
- 584 (a) The growing electricity and emission allowance prices over time strengthen RED
 585 market readiness in niche applications such as desalination and wastewater treatment
 586 sectors, reaching LCOE of 66−126 €/MWh on par or in the range of other renewable
 587 and conventional power technologies.
- (b) A realistic near-term reduction in membrane cost (~20 €/m²) would make RED
 profitable.
- (c) The NPV-optimal RED process design may reap profits in medium-capacity SWRO
 desalination plants of up to 500 m³/day.
- 592 (d) The use of low-resistance, low-cost membranes does improve the 593 cost-competitiveness of the RED process; a 20% drop in membranes resistance would 594 increase profits by 13%.
- 595 (e) Recycling and reusing alternatives brings on RED process designs that attain profits, 596 reduce grid mix emissions, and accommodate higher power densities and energy

efficiencies. Indeed, with a slightly lower RED-based take of the total desalination energy demand (~7% and ~8% in the series-parallel and NPV-optimal layouts), the series-parallel layout is as efficient as the GDP layout at the expense of a significant drop in power density which bears large economic losses.

These assessments show that mathematical programming is an efficient and systematic modeling and optimization tool to assist early-stage research, and to identify optimal design and operation guidelines for full-scale RED implementation. A natural progression of this work is to incorporate in decision-making uncertainty from electricity and emission allowances prices and membrane cost through stochastic optimization [79] and sustainability criteria through multi-objective optimization coupled with life cycle assessment principles [53].

608 CRediTte authorship contribution statement

- 609 Carolina Tristán: Conceptualization, Methodology, Software, Validation, Formal
- analysis, Investigation, Data curation, Writing Original Draft, Visualization. Marcos
- Fallanza: Conceptualization, Writing Review & Editing, Supervision. Raquel Ibáñez:
- 612 Conceptualization, Resources, Writing Review & Editing, Supervision, Project
- administration, Funding acquisition. **Inmaculada Ortiz:** Resources, Funding acquisition.
- 614 **Ignacio E. Grossmann:** Conceptualization, Methodology, Resources, Writing Review
- & Editing, Supervision

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- 621 086454)

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