Cost-optimal design of reverse electrodialysis process for salinity gradient-based electricity in desalination plants

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11 Abstract

This work provides the cost-optimal design of a large-scale reverse electrodialysis (RED) 12 13 system deployed in a medium-capacity desalination plant (Canary Islands, Spain) using 14 mathematical programming. The optimization model defines the hydraulic topology and 15 working conditions of the RED units that maximize the net present value (NPV) of the 16 RED process. We examine how past and future trends in electricity and carbon prices, 17 membranes price, desalination plant capacity, and the use of high-conductive membranes may affect the competitiveness and performance of the NPV-optimal design. We also 18 19 compare the conventional series-parallel configuration, and the optimal solution for the 20 GDP model with recycling and added reuse alternatives of the RED units' exhausted 21 streams to size the benefits of optimization over conventional heuristics. In the context of 22 soaring electricity prices and strong green financing support, and the use of high-23 performing, affordable membranes (~10 \notin /m²), RED could save 8% of desalination plant 24 energy demand from the grid earning profits of up to 5 million euros and LCOE of 66-25 126 €/MWh comparable to other renewable and conventional power technologies. In such conditions, the GDP model returns profitable designs for the entire range of medium-26 27 capacity desalination plants.

- 28 Keywords: Renewable energy; Generalized Disjunctive Programming; Desalination;
- 29 Wastewater reuse; Water-energy nexus.

30 1 Introduction

The energy released by mixing two water streams of different salinities, so-called salinity gradient energy (SGE), is a vast yet largely untapped renewable power source [1,2] to complement and diversify our current carbon and water-intensive energy mix [3–5], and sustain our energy-intensive water sector [6]. SGE technologies offer an integrated approach to the United Nations' Sustainable Development Goal (SDG) 7 on affordable, reliable, sustainable energy access, and SDG 6 on clean water and sanitation.

37 Desalination and wastewater reuse are projected to increase in the coming decades [7,8] 38 to reduce withdrawals from conventional surface and groundwater resources while 39 meeting stringent water quality standards. However, as large energy users of conventional 40 power sources [9,10], they are also large greenhouse gas (GHG) emitters that question 41 their sustainability [11–13]. Seawater reverse osmosis (SWRO), the technology of choice 42 in the global desalination market [14,15], is getting closer to the practical minimum 43 energy to desalinate seawater hitting a record, low specific energy consumption (SEC) of 44 ~ 2 kWh/m³ of desalted water [9]. Despite the marked decline in SEC, the carbon footprint 45 of large-scale desalination plants remains an issue [16,17]. Hence, coupling desalination 46 with renewable energy sources will be vital for the sustainable production of desalinated 47 water [13,18,19]. SGE technologies can provide clean, base-load electricity to 48 desalination and wastewater treatment plants, supporting their decarbonization and 49 circularity [6].

50 Within the SGE technologies, reverse electrodialysis (RED) has made great progress in 51 the past two decades, and is now closer to commercialization with some pilot trials and 52 field demonstrations [20–26]. In principle, a RED system takes in low- and high-salinity 53 waters (LC and HC) on either side of alternate pairs of cation-exchange (CEM) and anion-54 exchange (AEM) membranes that let through counter-ions, but not co-ions and water 55 [27]. The salinity difference over each ion-exchange membrane (IEM) creates an 56 electrochemical potential that drives the diffusion of cations through CEMs towards the 57 cathode, and anions through AEMs towards the anode from the saltier stream to the less-58 salty side; redox reactions at the outer electrodes convert this ionic flow into an electron 59 flux. The electric potential of the membrane pile and the resulting electric current can 50 then be used to power the external load.

61 The low power density of large-scale RED ($0.38-2.7 \text{ W/m}^2$ total membrane area), fouling, 62 and high cost of commercial membranes are the main setbacks for RED technological 63 readiness [1,28,29]. Niche markets beyond utility-scale electricity open new avenues to 64 prove and advance RED market readiness. For instance, seawater desalination brine and 65 wastewater are discarded streams that can be exploited to produce and save energy while 66 minimizing the environmental impact of brine disposal [30]. Besides, desalination's 67 seawater influent is already pre-treated to remove foulants [31], so the rejected brine 68 would likely be less prone to cause fouling than raw seawater, which would require 69 further energy-intensive purification.

70 While several studies have investigated the design of the RED process to improve the 71 power density and/or the energy conversion efficiency (i.e., the fraction of SGE converted 72 into useful work) of RED units in series or simple layouts, few have considered more 73 complex topologies-which may yield optimal designs-and cost metrics (e.g., net 74 present value, levelized cost of electricity), which are key drivers for widespread RED 75 adoption [32-35]. Efficiency and power density are mutually exclusive performance 76 metrics as maximizing both requires differing operating conditions [36]. Multi-staging of 77 the RED stacks and electrode segmentation can provide efficient designs with higher 78 power densities than once-through RED operation with unsegmented electrodes [37]. 79 Multi-staging adds to the design and operation space more degrees of freedom, such as

individual electrical control of the stages [38–40] like electrode segmentation [41–44],
asymmetric staging (i.e., different spacer thickness, number of cell pairs, membrane
properties, path length and type of mixing promotors in each stage) [37,45,46], and
different configurations [40,44,47,48]. However, these studies do not consider the cost,
which is a key enabler of RED technology adoption.

85 An alternative to making decisions about RED process design is to use optimization-86 based methods that rigorously search for the optimal configuration in a given design space 87 [49]. Notably, Generalized Disjunctive Programming (GDP) [26] is a higher-level 88 modeling framework that makes the formulation process more intuitive and systematic 89 while preserving the underlying logic structure of the problem in the model [50]. Tristán 90 et al. [51] developed a GDP optimization model that incorporates a detailed model of the 91 RED stack [52,53] to define the hydraulic topology and the working conditions of a set 92 of RED units that maximize the net present value (NPV) of the RED process. Their work 93 illustrates the functionality and benefits of mathematical programming and GDP 94 modeling on the conceptual design and optimization of the RED process over 95 conventional heuristics.

96 This follow-up study applies the GDP optimization model [51] to define the cost-optimal 97 design of a large-scale RED system in a medium-capacity SWRO desalination plant, a 98 propitious market to prove and advance RED-based electricity. The assessment explores 99 how electricity and emissions allowances prices over time, membranes price, SWRO 100 desalination plant capacity, and membranes resistance, may affect the cost-optimal 101 design, economic feasibility, and competitiveness of the RED process. To evaluate the 102 benefits of the GDP model over heuristics, we also compare the conventional series-103 parallel configuration with the optimal solution to the GDP problem, which includes 104 recycling and reuse alternatives of the exhausted streams of the RED units. This case

study serves to gauge the emissions and energy savings from the water- and carbon intensive grid mix the RED system can offer to desalination in the most cost-conscious way, the way forward to make RED-based electricity a full-scale reality.

108 2 Methods

Optimization-based strategies involve three major steps: (i) postulating a superstructure that embeds the relevant flowsheet alternatives from which the optimum solution is selected, (ii) its formulation as a tractable mathematical programming model; and (iii) solving the model with an optimization algorithm to determine the optimal configuration [49,54]. Since the GDP model for the optimal design of the RED process is thoroughly described in [51], we will brief the reader on the main equations and assumptions.

115 **2.1 Problem statement and superstructure definition**

The problem addressed is to define the hydraulic topology, that is, the number and hydraulic arrangement of the RED units and their working conditions (e.g., electric current, inlet flow velocities, and molar concentrations) that yield the cost-optimal flowsheet design of the RED process for a given concentration, volume, and temperature of the high-salinity and low-salinity feed streams, and a fixed design of the RED stacks.

121 The superstructure in <u>Fig. 1Fig. 1</u> displays the feasible design alternatives for the stated 122 problem, i.e., RED-based electricity production from the embedded energy of the HC and 123 LC feed waste streams, with *Nr* conditional RED units. The reader is referred to [51] for 124 details on the superstructure definition and notation.



- 126 Fig. 1. Superstructure for the RED process. High (HC) and low-salinity (LC) feed ($fs \in$
- **FSU**) and discharge ($dm \in DMU$) units. The set of source ($rs \in RSU$) and sink ($rm \in$
- RMU) units and the set of candidate RED units ($r \in RU$) are children of the parent RED
- 129 Process unit (*RPU*).

130 **2.2 Optimization model**

131 The set of equations (1) describes the general form of the non-convex Generalized 132 Disjunctive Programming (GDP) optimization model for the superstructure in Fig. 1Fig. 133 4. GDP models involve continuous and Boolean variables with constraints in the form of 134 algebraic expressions, conditional constraints within disjunctions, and logical 135 propositions. The Nr two-term disjunctions represent the discrete activation and 136 deactivation of the Nr candidate RED units.

$$\max NPV = f(x)$$

$$s.t. \quad g(x) \le 0$$

$$\begin{bmatrix} Y_r \\ h_r(x) \le 0 \end{bmatrix} \lor \begin{bmatrix} \neg Y_r \\ B^r x = 0 \end{bmatrix} \quad \forall r \in RU$$

$$\Omega(Y_r) = True$$

$$x \in X \subseteq R^n$$

$$Y_r = \{True, False\} \quad \forall r \in RU$$
(1)

138 In problem (1), the objective is to maximize the Net Present Value (NPV) of the RED 139 process subject to inequality constraints from process specifications and equality 140 constraints from material, energy balances, and thermodynamic relationships. The 141 continuous variables x are the molar concentrations and volumetric flows of the streams, 142 and the internal variables of the active RED units. Decisions are made on the electric 143 current and the concentration and flowrate of the RED stack's inlet streams. The global 144 constraints, $g(x) \leq 0$, outside the disjunctions are equalities and inequalities describing 145 specifications and physical relationships that apply for all feasible configurations in the 146 superstructure, e.g., mass balances of the feed, source, sink, and discharge units, and the 147 upper and lower bounds on concentration and flowrate. In each term of the disjunctions, 148 the Boolean variables Y_r govern the existence or absence of the RED unit; if a unit exists 149 or is selected $(Y_r = True)$, the associated active constraints $h_r(x) \le 0$ impose the 150 relevant mass and energy balances or other physicochemical phenomena that apply in the RED unit, add the incurred capital and operating cost to the objective function, and set lower and upper bounds on its internal variables and the concentration and flowrate of its inlet and outlet streams; otherwise, the negation $(\neg Y_r)$ ignores the RED unit equations in the inactive disjunctive term, and $B^r x = 0$ constraints set to zero a subset of the continuous variables and cost terms in the objective function. Other types of logical relationships for selecting the candidate RED units ($\Omega(Y_r) = True$) are specified using logic propositions.

- 158 To formulate the GDP problem, we assume:
- 159 (a) The feed streams are pure sodium chloride (NaCl) solutions, thus neglecting the non-
- idealities of aqueous solution (i.e., unity activity coefficients) and the existence ofother species that would undermine the RED performance.
- 162 (b) The internal losses depend only on the ionic resistance of solutions and membranes.
- 163 (c) Constant membranes permselectivity and ionic resistance apply, regardless of the
- 164 solutions concentration and temperature.
- 165 (d) There is no water transport across the membranes against the concentration gradient
- 166 due to osmosis, which implies a constant streamwise volumetric flowrate in RED's167 channel.
- (e) Salt diffusivities in the membrane phase are independent of solutions concentrationand temperature.
- 170 (f) No fluid leakage or ionic shortcut currents in the RED stack's manifolds.
- 171 (g) Co-current flow of the high- and low-concentration streams.
- 172 (h) The RED system operates under isothermal and isobaric conditions.
- 173 The solution to the GDP model maximizes the NPV of the RED process (2), which
- 174 considers operating (OPEX in €/year), and capital costs (CAPEX in €) annualized over

the expected lifetime of the plant *LT* in years, using the capital recovery factor, *CRF*, given in (4) with a discount rate *DR*. The OPEX and annualized CAPEX define the total annual cost (3), *TAC*, of the RED system. The NPV accounts for electricity sales and carbon pricing revenues. The RED plant electricity is sold to the grid at Spanish average price of electricity for non-house consumers, *ep* [55], and the abated GHG emissions from the grid mix (Spanish emission factor, *ef*) are subsidized at the average price, *cp*, in the European Union Emission Trading System (EU ETS) [56–60].

182
$$NPV = \frac{(ep + cp ef) TNP 8760 LF - TAC}{CRF}$$
(2)

$$TAC = CRF CAPEX + OPEX$$
(3)

184
$$CRF = \frac{DR}{1 - (1 + DR)^{-LT}}$$
(4)

$$TNP = \sum_{r \in RU} NP_r$$
(5)

We use a semi-rigorous version of Tristán et al. [51,52] RED stack model, to balance model fidelity and tractability. When the RED unit is active ($Y_r = \text{True}$), the discretized model predicts the net power output, NP_{r_i} that is added to the net power capacity of the RED system, i.e., total net power, TNP in kW (5). When the RED unit is absent ($\neg Y_r$) the net power output is set to zero.

We consider plant downtime due to membrane cleaning and system maintenance by applying a load factor, *LF*, to the annual energy yield (kWh/year) of the RED plant working at full capacity.

194 To estimate the capital investment, we determine the cost of RED stacks, $\sum_{r \in RU} CC_{stack,r}$,

195 pumps, *CC_{pump}*, and civil and electrical infrastructure costs, *CC_{civil}*.

196
$$CAPEX = \sum_{r \in RU} CC_{stack,r} + CC_{pump} + CC_{civil}$$
(6)

197 The annual operating cost comprises the electricity cost from pumps, $\sum_{r \in RU} OC_{pump,r}$,

198 the replacement cost of membranes, $\sum_{r \in RU} OC_{IEMsrep,r}$, and maintenance and labor costs.

199
$$OPEX = \sum_{r \in RU} OC_{pump,r} + \sum_{r \in RU} OC_{IEMsrep,r} + 0.02 CAPEX$$
(7)

200 When the RED unit is active, $CC_{stack,r}$ is added to CAPEX, and $OC_{pump,r}$ and $OC_{IEMsrep}$

201 to OPEX; otherwise, these terms take zero values.

202 The remainder financial parameters are those reported in Table 1.

203 **Table 1.** Financial parameters for the RED plant.

Parameter	Value
Plant lifetime, <i>LT</i> (years)	30
Membranes' lifetime, LT_m (years)	10
Load Factor, LF	90%
Discount rate, DR	5%
Spanish emission factor, ef (kg CO ₂ -eq/kWh)	0.374

204

205 2.3 Solution strategy

206 We code the GDP model using the Python-based, algebraic modeling language Pyomo 207 [61] and Pyomo.GDP, a Pyomo library extension for logic-based modeling and optimization [62]. To solve the GDP problem, we apply the Global Logic-based Outer 208 209 Approximation (GLOA) algorithm [63,64] implemented in the logic-based solver 210 GDPopt version 20.2.28 built on Pyomo.GDP. The GLOA algorithm decomposes the 211 solution to the GDP into a sequence of mixed-integer linear programming (MILP) master 212 problems and reduced nonlinear programming (NLP) subproblems. 213 We solve the MILP master problems with CPLEX and the NLP subproblems with the

214 multistart heuristic algorithm MSNLP using IPOPTH as a local NLP solver on a machine

running Windows 10 (x64) with 6 cores processor (Intel[®] Core[™] i7-8700 CPU @3.2
GHz) and 16 GB of RAM. We access the MINLP and NLP solvers from GAMS 34.1.0
through the Pyomo-GAMS interface. The stopping criteria depend upon the MSNLP
solver's maximum number of iterations (i.e., 500 NLP solver calls) to guarantee a nearoptimal solution.

220 2.4 Techno-economic performance metrics

To assess the technical performance of the optimal RED process designs, we determine its net power density, i.e., the net power produced per membrane area, and its net energy efficiency, or the fraction of exergy or theoretical maximum energy attainable in form of SG, converted to useful work. We consider the Levelized Cost of Energy (LCOE) to assess the cost-competitiveness of the RED optimal designs.

226 Net and thermodynamic energy efficiency

The exergy or Gibbs free energy of mixing is the theoretical maximum energy that is available for useful work from a system reaching equilibrium. The difference in the Gibbs free energy between the final mixture and the initial high and low-salinity solutions yields the change in free energy of mixing of the inlet $\Delta G_{mix,in}$ and outlet $\Delta G_{mix,out}$ (8) streams of the RED process unit, i.e. streams (*fso*, *rsu*) and (*rmu*, *dmi*) [41,65].

232

$$\Delta G_{mix,i} = 2 R T \sum_{sol \in \{HC,LC\}} Q_{i,sol} C_{i,sol} ln \frac{C_{i,sol}}{C_{M,i}}$$

$$\forall i \in in \cup out = (fso, rsu) \cup (rmu, dmi)$$
(8)

233
$$C_{M,i} = \frac{\sum_{sol \in \{HC, LC\}} Q_{i,sol} C_{i,sol}}{\sum_{sol \in \{HC, LC\}} Q_{i,sol}}$$

$$\forall i \in in \cup out = (fso, rsu) \cup (rmu, dmi)$$
(9)

where *R* is the gas constant (8.314 J/mol/K), *T* is the absolute temperature (K), 2 denotes the number of ions each NaCl molecule dissociates into, Q is the volumetric flowrate 236 (m^3/s) and *C* the concentration (mol/m^3) of the initial high and low-salinity solutions 237 entering and leaving the RED process. Equation (9) yields the concentration of the mixed 238 solution in thermodynamic equilibrium (C_M in mol/m³) of the RED process inflow and 239 outflow streams.

The net energy efficiency, η_{net} , measures the input fraction of free energy that RED converts into electricity (10). The exergy change between RED process inlet and outlet streams is the exergy recovered for conversion, i.e., the retrieved exergy for useful work ($\Delta G_{mix,retrieved}$), that is used to compute the thermodynamic efficiency, η_{th} , of the RED process.

245
$$\eta_{net} = \frac{TNP}{\Delta G_{mix,in}}$$
(10)

246
$$\eta_{th} = \frac{TNP}{\Delta G_{mix,in} - \Delta G_{mix,out}} = \frac{TNP}{\Delta G_{mix,retrieved}}$$
(11)

247 Levelized Cost of Energy (LCOE)

The LCOE (\notin /kWh) estimates the average cost per unit of energy generated across the lifetime of a power plant that would break even the RED project costs. The LCOE gives a first-order assessment of the RED project viability. Assuming the energy provided annually is constant during the lifetime of the project, the LCOE reduces to (12).

252
$$LCOE = \frac{CRF CAPEX + OPEX}{TNP 8760 LF} - cp \ ef$$
(12)

253 2.5 Specifications for the RED optimal design deployed in a medium-size 254 desalination plant

The large-scale RED system recovers energy from the concentrate effluent of
Maspalomas II SWRO desalination plant in Gran Canaria (Canary Islands, Spain) [66–
68]. Maspalomas II plant produces 26,184 m³/day of desalted water and rejects 17,602

258	m ³ /day of high-salinity brine (1.67 M NaCl, 20 °C) with a SEC of 3.77 kWh/m ³ . The low-
259	salinity feedwater (20mM NaCl) may be obtained from nearby wastewater treatment
260	plants (e.g., el Tablero, las Burras) [69]. Hence, we assume the same LC and HC feed
261	volume available for SGE conversion.
262	The case study explores how (i) electricity and carbon prices, (ii) membrane price, (iii)
263	desalination plant capacity, and (iv) membrane resistance, may affect the cost-
264	competitiveness, power density, and energy efficiency of the NPV-optimal RED design.
265	All the assessments refer to a commercial RED unit (Table 2) in 2022 unless otherwise

266 stated.

Table 2. Parameters of the commercial RED stack (Fumatech GmbH[®], Germany). 267

Parameter	Value				
Number of cell pairs	1000				
Channel size	$1.824 \text{ m} \times 1.532 \text{ m}^{a}$				
Spacers					
Thickness (µm)	270 ^b				
Porosity	82.5%				
Membranes properties: fumasep [®] CEM (FKS-50) / AEM (FAS-50)					
Areal resistance ($\Omega \cdot cm^2$)	$1.8 / 0.6^{\circ} (-20\%)^{d}$				
Permselectivity (-)	0.93				
Thickness dry (µm)	50				
Active area (m^2)	0.7^{a}				
^a Four times the size of fumatech [®] ED-	-1750 pilot-scale module. ^b Equal to inter-				
membrane distance i.e. HC or the LC channels height. ^c Measured in 0.5 M NaCl at 25					

269

°C. ^d Reduction assuming future advances in membranes design. 270

271

268

272 To assess the influence of electricity price and carbon pricing over time, we gather 273 Spanish average electricity price [55] and EU ETS average emission allowances price 274 [70] for the period 2017-2022. We regress EU-27 data from 2007 onwards [55] to 275 estimate 2030 electricity prices; the carbon price in 2030 is a central estimate benchmark 276 from OECD [71]. We assess the sensitivity to membrane costs by setting (i) the current price of membranes (i.e., average CEM and AEM cost from Fumatech[®], 87.5 €/m²); and 277

(ii) the lowest price reported in the literature (~10 €/m²) [72]. We assume 20% drop in
membranes resistance to reflect future advancements in membranes design. We reduce
the flowrate of both HC and LC feedwaters to estimate the minimum SWRO desalination
plant capacity that would allow the NPV-optimal RED process earn profits.

To evaluate the benefits of the GDP optimization model in RED process design over heuristic approaches, we compare two hydraulic arrangements each with the same number of candidate RED units (i.e., $N_r = 35$):

(i) Fixed series-parallel layout, from our previous assessment [52], where the RED
system treats desalination concentrate into several identical parallel arrays of units in
series, so neither recycling nor alternative reuse of the outlet streams is allowed. The
objective is to maximize the total net power of the parallel branch, as it was set in our
former study [52].

(ii) GDP layout, leaving the connection between the superstructure units free as a discretedecision. In this case, the objective is to maximize the NPV.

In the Series layout, we estimate the working conditions that maximize the net power of a stand-alone RED stack to fix the flowrate of the inlet streams to each parallel branch. We assume that the high and low salinity feedwaters are evenly split among the parallel branches, each with the same optimal configuration, so the net power output and costs of the RED system scale accordingly.

297 **3** Results and discussion

For all the scenarios and the given parameters, each solution provides the NPV-optimal topology and decision variables that balance electricity production and capital and operating outlays increase. Discrete decisions include the working RED units and the active water streams. Continuous decisions are the flowrate and concentration of the inletstreams and the electric current of each active RED stack.

303 It is worth noting that simplifications and assumptions of the RED stack model result in
304 an overestimation of the net power output and, as such, an underestimation of the LCOE
305 and an overestimation of the NPV.

306 **3.1 Electricity and carbon price assessment**

307 As expected, the upward trend of electricity and emissions allowances prices over time
308 (Fig. 2) favors RED process techno-economic performance (Fig. 3), which in turn relieves
309 the grid mix supply of Maspalomas II desalination plant (RED-based electricity could
310 meet about ~7–8% of the SEC).

Russia's invasion of Ukraine in early 2022 brought severe disruptions in the EU energy market. The unprecedent surge in European fossil gas prices is echoed in the unparallel electricity price spike in 2022 (Fig. 2), soaring prices that incentives the promotion of emerging renewable technologies such as RED. Besides, the cap-and-trade EU ETS limits the volume of allowances in the market over time (Fig. 2) to comply with emissions reduction targets, the scarcity of emission allowances (among other factors) increases their price used in financing RED (Fig. 4).

For the assessed period (Fig. 4), electricity sales are the main revenue source, with lower yet growing revenue shares from auctioning allowances in the EU ETS (e.g., from 1% of all revenues in 2017 to ~11% in 2022 and ~17% in 2030). As a result, RED benefits grow by about 52% in five years, a 25% increase in NPV. Despite the slight decline of electricity price in 2030, the RED process may raise 724,155 euros each year during their lifetime yielding a NPV of about 4.4 million euros. 324 When electricity is priced high, the revenue gained outstrips the increase in costs from a 325 larger number of RED units (Fig. 4). The optimal solution therefore activates more RED units to raise the nominal generation capacity of the RED system (10 units in five years 326 327 delivering 23% more TNP), but at a cost. Each unit added to the RED system reduces the overall net power density from 2.1 W/m² in 2017 to 1.8 W/m² in 2022 (Fig. 3). On the 328 329 flip side, the RED system retrieves more exergy for conversion (15% more exergy than 330 in 2017) from which a greater share (39% in 2017 and 42% in 2022) is converted into net 331 electricity, enhancing the overall energy efficiency and net power output of the RED 332 system (Fig. 3).

The overall net power density loss is related to the lower inlet flowrate of the RED units. This is because the same HC and LC feed volumes (kept constant throughout the years) are sourced to a larger number of RED units. Such lower inlet flowrate causes the RED units to depart from the net-power optimal working conditions, thereby reducing its power rate.

These findings indicate that in a context of high electricity prices and strong green financial support, RED technology does not require to reach the ambitious $\sim 2.0 \text{ W/m}^2$ to be competitive as previous studies suggested. This is a reassuring result for RED transition from lab-scale to commercialization.



343 Fig. 2. Revenues per MWh from electricity and emission allowances over the period





345

Fig. 3. Net present value, net power density (markers text), and thermodynamic energy efficiency (markers color) of the NPV-optimal RED process design over the past five

348 years from 2022 and forecast to 2030.





Fig. 4. NPV-optimal RED process over the period 2017–2022 with projections to 2030: cost and revenues breakdown in present value and number of active RED units, # RU.

3.2 Membrane price assessment

353 The membrane price that breaks even the NPV-optimal RED design falls somewhere 354 between 23 \notin/m^2 and 24 \notin/m^2 (Fig. 5Fig. 5), just under twice to six times the price of 355 previous estimates of similar feeds concentrations (see Table 3Table 4). Membranes 356 priced above 23 €/m² yield larger economic losses when more than one RED unit is 357 active, that is, the capital and operational expenses overshadow incomes from electricity 358 sales and green financing incentives to a greater extent with an increasing number of 359 working RED units (Fig. 6Fig. 6); therefore, the optimal RED process design keeps one 360 RED unit active under near-optimal working conditions (i.e., maximum net generation), which results in a higher power density of 2.4 W/m² but reduced net (21%) and 361 362 thermodynamic (36%) efficiencies (Fig. 7Fig. 7). As a result, the net power output and 363 the derived electricity and emissions revenues from a single RED unit remain unchanged, 364 whereas the investment and operational costs (i.e., membranes' replacement cost) 365 increase linearly with membrane price (Fig. 6Fig. 6). The balance between the constant 366 revenues and higher total costs of a single but costlier RED stack is reflected in the linear 367 decline of NPV with membrane price (Fig. 5Fig. 5).

368 The NPV trend shifts for membranes rated below 23 €/m², following a steep increase with 369 lower membrane prices (Fig. 5Fig. 5). As membrane price falls the GDP model activates 370 more RED units since the revenues earned outweigh the increase in capital and operating 371 cost. The overall net power density decreases due to the larger number of RED units fed 372 with the same feed flowrate, which recover a larger fraction of the input exergy for 373 conversion increasing the net efficiency (Fig. 7Fig. 7). The thermodynamic efficiency 374 also increases because the active RED units operate at lower inlet flowrates, reducing the 375 overall pump power consumption.

With the abatement of membrane costs, designers can focus on achieving higher energy recovery rates from SG, leading to the development of more efficient and economically viable designs that increase the RED-based share of the SWRO desalination plant supply from 0.3% from a single costlier RED unit to 8% from 33 cheaper RED units. The scaleup of the RED process capacity to the MW order would likely make the project profitable in the short run if cheaper manufacturing membrane processes lower its cost to ~20 €/m².



382

Fig. 5. Membrane price influence on the NPV-optimal RED process design: net present
value and number of active RED units. The inset magnifies the NPV in the membrane
price range within the boxed part of the graph.



Fig. 6. Membrane price influence on the NPV-optimal RED process design: cost and
 revenues breakdown in present values.



389

Fig. 7. Membrane price influence on the NPV-optimal RED process design: net powerdensity, net and thermodynamic energy efficiencies.

392 3.3 SWRO desalination plant capacity assessment

The available feeds flowrate restricts the exergy input which in turn bounds the useful work of the RED process. The exergy input scales linearly with the desalination plant capacity (Fig. 8Fig. 8), and so does the TNP of the RED plant (Fig. 8Fig. 8 and markers size in Fig. 9Fig. 9). As such, to maximize the NPV with scarce feed volumes, the GDP optimization model deactivates RED units (keeping a single RED unit in the low-end capacity range of medium-sized SWRO desalination plants, i.e., 500 m³/day). By reducing the number of RED units, the NPV-optimal RED process attempts to emulate the overall working conditions with larger feed volumes. With larger HC and LC feed volumes (4400–17,600 m³/day) the NPV-optimal solution retrieves ~76% and converts ~31% of the input exergy into electricity (TNP) (Fig. 8Fig. 8). The net power density and thermodynamic efficiency remain roughly constant to ~1.8 W/m² and ~42% up to a tenth of Maspalomas II capacity. owing to the lower number of RED units (3 units) operating with larger, net-power optimal flowrates that increase the net power density to 1.9 W/m² with a slight decline in thermodynamic efficiency (41%).

407 Desalination plants rejecting ~334 m³/day (i.e., 500 m³/day nominal capacity), would 408 allow to install a single RED unit, that must run with a lower sub-optimal flowrate due to 409 the scarce HC and LC feed flowrates, as such the net power density decreases to 1.4 410 W/m², while the energy efficiency increases to 44%. This is because the RED unit 411 depletes to a greater extent the concentration gradient with lower hydrodynamic losses. 412 Even so, the RED unit would source about 7.5 kW to the desalination plant reaping a 413 profit of 53,595 euros.

414 Overall, the integration of on-site electricity generation based on RED technology in 415 desalination plants of up to 500 m³/day capacity can alleviate the reliance on water and 416 energy-intensive grid mixes, contributing to more sustainable and self-sufficient water 417 supply systems.



419 Fig. 8. SWRO desalination plant capacity influence on the NPV-optimal RED process420 design: energy balance.



418

422 Fig. 9. SWRO desalination plant capacity influence on the NPV-optimal RED process
423 design: net present value, net power density (markers text), total net power output
424 (markers size), and net thermodynamic efficiency (markers color).

425 **3**.

3.4 Membrane resistance assessment

The use of high-performance membranes would provide slightly more powerful—i.e., 7.4% more TNP with a 4.2% increase in the overall net power density (Fig. 10Figure 10)—and efficient designs—3.5% more efficient in terms of thermodynamic efficiency (Fig. 10Fig. 10)—by simply adding a RED unit to the RED system (about 5.6 km² of total IEM area in a single stack). Such small improvement, however, would add up almost a million euros of benefits with virtually no impact on capital and operational costs, 432resulting in a 13% NPV growth (Fig. 11Fig. 11). The LCOE would also improve, moving433from 103 €/MWh to 97 €/MWh These results emphasize that any improvement in434membranes' performance has a positive impact on cost-competitiveness and widespread435adoption of RED, a solid reason to thrust the development of cost-effective manufacturing436processes and mass production of low-resistance membranes to reach prices of ~10 €/m².



437

Fig. 10. Membrane resistance influence on the net power density, net and thermodynamicenergy efficiencies of the NPV-optimal design.



440



443 3.5 Conventional series-parallel layout vs. NPV-optimal layout

444 The optimal GDP layout outperforms the series-parallel arrangement, as it renders445 economically viable RED process designs with almost equal energy and emissions

446 savings from the grid (\sim 7% in the conventional layout and \sim 8% in the cost-optimal 447 layout).

448 The optimal series-parallel design of the RED process that peaks the total net power 449 output with (i) a fixed hydraulic arrangement of the RED units, (ii) fixed concentration 450 and flowrate of the HC and LC inlet water streams, and (iii) leaving the number of 451 working RED units and its electric current as single decision variables, is far from being 452 profitable (negative NPV of 2.9 million euros, Fig. 12). The GDP optimization model 453 activates the largest feasible number of RED units in series, i.e., 5 out of the 35 candidate 454 RED units per parallel branch, to maximize the net power generation of the whole system. 455 Even though the last RED units in the series increase the net power of the system, the 456 RED unit's net power density well decreases from the first 1.9 W/m² to the last \sim 7 mW/m² 457 which makes them prohibitively expensive.

While the net energy efficiency of the series layout (33%, Fig. 13) aligns with the estimated value to make RED technology competitive with other renewables (i.e., 40%) [22], the total net power density (0.9 W/m^2 , Fig. 13) falls well below the estimated value to make RED cost-competitive (2.0 W/m^2) [22]. The capital and operational expenses outweigh the benefits from electricity sales and green financing incentives which cover 78% of the total costs, as seen in Fig. 12.

These results show that the optimal design from the technical perspective is not always the same from an economical viewpoint. The series configuration recovers a larger fraction of SGE at expense of lower power density that renders the RED process unprofitable.

Even though the conventional layout retrieves more energy for conversion (by increasingthe extent of mixing through the series), the input exergy is lower than the optimal GDP

layout (Fig. 14). This is because the total LC feed (assumed equal to Maspalomas II's
desalination brine, ~733 m³/h) restricts the number of parallel branches to 11. The optimal
net-power inlet flowrate is about 0.6 times lower than the inlet LC flowrate, as such,
around 42% of the brine remains untapped reducing the input exergy of the RED system
to 866 kW.

475 As opposed to the series arrangement, the GDP layout, with its (i) larger volume of HC 476 and LC feeds, and (ii) recycling and additional reuse alternatives, would provide cost-477 optimal designs that could earn hefty profits (Fig. 12) while reconciling high efficiency 478 and higher power densities (Fig. 13). The reduced extent of mixing and lower pump 479 consumption of the GDP layout (Fig. 14) improves the thermodynamic energy efficiency 480 which increases from 35% in the series-parallel arrangement to 42% in the GDP layout 481 (Fig. 13) despite the larger fraction of exergy unused (Fig. 14), which yields a modest 482 decrease in net efficiency (Fig. 13).

In the series-parallel arrangement, we enforce all RED units to work with higher flow velocities, those that peak the net power of the stand-alone RED unit (2.6 cm/s in the HC and 4.5 cm/s in the LC compartments). The effect of such high velocities is twofold: an overall pump power increase (eight times the GDP's), which in turn raises the investment and running costs (Fig. 12) and lowers the energy conversion efficiency (Loss in Fig. 14, and thermodynamic efficiency in Fig. 13).

489 These results underscore the value of mathematical programming and higher-level GDP

490 modeling over heuristics for determining cost-optimal RED flowsheet designs.



- 492 Fig. 12. Cost and revenues breakdown in present value and net present value of the series-
- 493 parallel and NPV-optimal layouts.



491

495 Fig. 13. Overall net power density, thermodynamic efficiency, and net energy efficiency

496 of the series-parallel and NPV-optimal layouts.



Fig. 14. Energy balance of the series-parallel and NPV-optimal layouts. In: Gibbs free
energy entering the RED system. Out: Gibbs free energy leaving the RED system unused.
Retrieved: Difference between input and output Gibbs free energies used for conversion
in the RED system. Loss: Gibbs free energy lost in energy conversion. Net: total net
power output of the RED system.

503 3.6 Contextualizing RED economic competitiveness

504 Despite the discrepancy between the assumptions and scale of renewables projects (i.e.,

505 utility-scale projects of at least 1 MW) in IRENA's LCOE estimates [73] and the NPV-

506 optimal LCOE of RED, <u>Fig. 15</u> provides some insights into RED competitiveness.

The assumed low membrane cost of $10 \notin m^2$ in all the assessed years would make the LCOE of the NPV-optimal RED design fall within the range of fossil fuel-fired power generation technologies (Fig. 15Fig. 15). In the face of soaring electricity prices and stiffen emission reduction targets to be on track of 2030 Paris Agreement's goals, the NPV-optimal RED process would even be on par (i.e., concentrated solar power, CSP) or

512 in the range of other renewables.

513 If similar trends of steep cost reduction, technological advancements, and high 514 penetration rates were to occur in RED technology, it is plausible that the LCOE for RED 515 could reach levels comparable to established renewable technologies such as solar 516 photovoltaic (PV) or onshore and offshore wind. This is in line with the steep cost 517 reductions witnessed in solar PV, CSP, and offshore wind over the past decade (Fig. 518 <u>15Fig. 15</u>). Even though IRENA's analysis excludes the impact of government incentives
519 or subsidies, carbon emission pricing or the benefits of renewables in reducing other
520 externalities, these figures heighten the need in proving and advancing RED to reach
521 market-readiness.



522

Fig. 15. Global LCOE from newly commissioned, utility-scale renewable power generation technologies, 2010-2020 [73]. NPV-optimal RED process LCOE range 2017– 2022 and 2030 (green filled area) and 2021 LCOE (green dashed line). Grey filled area denotes price range of fossil fuel-fired technologies. All monetary values are in real, 2021 euros considering inflation and applying the exchange rate for each year. PV: photovoltaic; CSP: concentrating solar power.

529 Table 5 compares reported cost estimates of RED and the LCOE of the NPV-optimal

530 RED process designs for current and future membrane price scenarios in 2022. The

531 paucity of detailed economic evaluations and wide variability in LCOE (16-4956

532 €/MWh) across existing studies due to disparity in their underlying financial and process

533 assumptions, makes any comparison inconclusive and open to discussion. As such, it

534 serves to extract some general guidelines and trends.

535 The HC and LC feed concentration, volume, and temperature determine the input exergy

and, thus, the nominal capacity and cost of the RED process. HC sources such as brines

537 from coal mines, desalination, saltworks, salt lakes, or regenerated thermolytic salt

solutions used in the so-called RED heat engines (1–5 M), offer higher SGE potential
than less salty water bodies such as seawater (0.5–0.6 M). A purposely designed RED
system could efficiently exploit these high-salinity sources, thus, reducing the LCOE.

541 Depending on the source, the feeds' pureness also may affect the performance and 542 durability of RED if not properly pre-treated which may increase capital and operational 543 expenses. In this work, the objective function, i.e., the NPV, exclude pre-treatment cost, 544 which is likely to result in an underestimation of the actual LCOE for RED systems that 545 use sources with extensive pre-treatment requirements, e.g., treated wastewater effluents, 546 raw seawater, or river water.

547 None of the reported cost estimates in Table 5 consider the working conditions of each 548 RED stack and their relative arrangement that may greatly improve both the performance 549 and cost of the RED process as seen in the case study. Instead, most of them derived the 550 cost of RED electricity or the LCOE for an estimated or projected RED unit power density 551 or a targeted nominal capacity of the RED plant. Some also considered the impact of 552 availability, concentration, and fouling potential of the HC and LC feeds, different RED 553 stack sizes, and IEMs properties on RED system costs under fixed, suboptimal working 554 conditions of the RED units. Such detailed assessments, however, miss cost-optimal 555 design alternatives that optimization-based approaches can effectively handle and 556 identify.

The case study and the reviewed studies reveal that realizing high-performing (i.e., lowresistant, high-permselective), affordable membranes is a crucial lever for RED technoeconomic progress toward market competitiveness. As shown in the case study, membrane cost weighs heavily on the objective function. Even though all scenarios have equal feedstreams conditions and candidate RED units, the high price of commercial membranes makes the NPV-optimal design uneconomic. Only if IEMs were one-order-

30

of-magnitude cheaper, such that revenues offset the outlays increase, the NPV-optimal
design would retain more RED units tuning their working conditions such that they reach
the net power density that maximize the NPV. The cumulative experience in operating
and developing RED technology will likely decline its LCOE to the estimated 66–126
€/MWh.

			ТР	PD	Capacity	Lifetime [years]		IEMs Price		LCOE ^f
	High-salinity solution	Low-salinity solution	(MW)	(W/m^2)	Factor	Plant	IEMs	(€/m ²)	r	(€/MWh)
Turek (2007)	0.6 M	9.6 mM	NR	0.46ª	NR	NR	10	68	NR	4956 (6790) ^e
[74]								$(\$100/m^2)^c$		
Turek (2008)	1.9 M	9.6 mM	NR	1.04 ^a	NR	NR	10	68	NR	2041 (3000) ^e
[75]								$(\$100/m^2)^d$		
Post et al. (2010)	0.5 M	5 mM	0.2	2ª	91%	20	7	2	6%	79
[22]								10		200
Daniilidis et al.	0.5 M	17 mM	200	2.2 ^b	84%	25	7	4.3, 50	10%	18, 71
(2014) [35]				2.7 ^b				4		16
Weiner et al.	0.6 M	17 mM	NR	1.2 ^b	NR	20	NR	676	6%	5705 (6330)
(2015) [76]								(\$750/m ²) ^c		
Bevacqua et al.	2.6 M NH ₄ HCO ₃	75 mM NH4HCO3	0.1ª	4.30	91%	20	NR	50	6%	683
(2017) ^g [77]	2.4 M NH ₄ HCO ₃	10 mM NH ₄ HCO ₃		2.39						306
	2.5 M NH ₄ HCO ₃	40 mM NH ₄ HCO ₃		4.06						436
Micari et al.	5 M	10 mM	1 ^b	3.2	90%	30	10	30	5%	400
(2019) ^g [78]										
Papapetrou et al.	3.8 M	10 mM	0.1	0.66 ^b	90%	30	10	30	5%	1360
(2019) ^g [33]	5 M	10 mM	1	4.67 ^b						210
Giacalone et al.	1.2 M	17 mM	2 ^b	1^{a}	90%	30	10	15	5%	500
(2019) [34]			4 ^b	2ª				4, 15		110, 250
	5 M	< 103 mM	$0.01 - 1^{b}$	1.5–2ª				15		270-330
			0.04–3 ^b	6.5ª				4		30–50
Ranade et al.	5 M	0.5 M	0.015	1.19 ^b	82%	20	10	5, 50	5%	250, 1500
(2022) [79]			0.031	2.44 ^b						120,750
This work ^h	1.67 M	20 mM	0.327	1.8 ^b	90%	30	10	10	5%	98
			0.013	2.3 ^b				87.5		998

Table <u>34</u> Cost estimates of RED reported in the literature and the present study. TP: Total power. PD: Power density.

^a Gross power. ^b Net power. ^c Total investment cost. ^d Including endplates and electrodes. ^e Cost of electricity. ^f Values between brackets in \$/MWh converted to €/MWh with the corresponding user sucrease evaluates and electrodes. ^e Dest option and the second second

570 the corresponding year average exchange ratio from the International Monetary Fund (IMF). ^g RED heat engine. ^h Circa 2022.

568

571 4 Conclusions

572 RED technology has great prospects in solving the water-energy challenge but needs to 573 prove that it can generate electricity reliably to gain the trust of investors and 574 manufacturers to unlock economies-of-scale cost reduction. In this work, we have 575 presented an optimization model to devise techno-economic viable RED process designs 576 that support the leap from lab to market. The Generalized Disjunctive Programming 577 (GDP) model allowed us to define the hydraulic topology and working conditions of a set 578 of RED units to maximize the net present value of the RED process deployed in a 579 medium-capacity seawater reverse osmosis plant.

580 We have estimated the energy and emissions savings from the grid RED-based electricity 581 may offer to desalination exploring relevant factors involved in the cost-optimal design 582 of the RED process. The growing electricity and emission allowance prices over time 583 strengthen RED market readiness in niche applications such as desalination and wastewater treatment sectors, reaching LCOE of 66–126 €/MWh on par or in the range 584 585 of other renewable and conventional power technologies. A realistic near-term reduction 586 in membrane price (~20 €/m²) would make RED profitable. The NPV-optimal RED 587 process design may reap profits in medium-capacity SWRO desalination plants of up to 588 500 m³/day. The use of low-resistance, low-cost membranes does improve the cost-589 competitiveness of the RED process; a 20% drop in membranes resistance would increase 590 profits by 13%.

591 Expanding the operating decision space with recycling and reusing alternatives brings on 592 RED process designs that not only attain profits while cutting down grid mix emissions, 593 but also accommodate higher power densities and energy efficiencies. Indeed, with a 594 slightly lower RED-based take of the total desalination energy demand (~7% in the series-595 parallel and ~8% the NPV-optimal layouts), the series-parallel layout is as efficient as the 596 GDP layout at the expense of a significant drop in power density which bears large 597 economic losses.

598 These assessments show mathematical programming is an efficient and systematic

599 modeling and optimization tool to assist early-stage research, and to extract optimal

600 design and operation guidelines for full-scale RED implementation.

601 **CRediTte authorship contribution statement**

Carolina Tristán: Conceptualization, Methodology, Software, Validation, Formal
analysis, Investigation, Data curation, Writing - Original Draft, Visualization. Marcos
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Conceptualization, Resources, Writing - Review & Editing, Supervision, Project
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